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BEST AVAILABLE COPY TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 17406 In Re Application Of: Akio Uchiyama Application No. Filing Date Customer No. **Group Art Unit** Confirmation No. Examiner 10/766,581 23389 3837 January 27, 2004 Matthew J. Kasztejna 3739 CAPSULE MEDICAL DEVICE Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 17406									
In Re Application Of: Akio Uchiyama									
Application No.		Filing Date	Examiner	Examiner Customer No. Gr		Confirmation No.			
10/	766,581	Јапиагу 27, 2004	Matthew J. Kasztejna	23389	3739	3837			
Title:	CAPSULE I	MEDICAL DEVICE							
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450									
			37 CFR 1.97(b)						
The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.									
			37 CFR 1.97(c)						
2. 🛚	The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
	☐ the statement specified in 37 CFR 1.97(e);								
	OR								
	🖾 the fee set forth in 37 CFR 1.17(p).								
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TRANSMITT	Docket No. 17406							
In Re Application of: Akio Uchiyama								
Application No.	Filing Date	Examiner	Customer No.		Group Art Unit	Confirmation No.		
10/766,581	January 27, 2004	Matthew J. Kas	ztejna	23389	3739	3837		
Title: CAPSULE	MEDICAL DEVICE							
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. Charge the amount of \$180.00 Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa November 28, 2006								
Signature of Person Mailing Correspondence Seth Weinfeld								
L	rinifd Name of Person Signate may only be used in the Signature			November 28, 20	of Person Mailing Ce	rtificate		
Seth Weinfeld, Reg. Scully, Scott, Murph	No. 50,929			.·				
cc:								

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Akio Uchiyama

Examiner:

Kasztejna, Matthew J.

Serial No:

10/766,581

Art Unit:

3739

Filed:

January 27, 2004

Docket:

17406

For:

CAPSULE MEDICAL

Dated:

November 28, 2006

DEVICE

Confirmation No. 3837

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U. S. Patent 5,604,531 dated February 18, 1997 issued to Iddan et al.
- 2. U. S. Patent 6,951,536 dated October 4, 2005 to Yokoi et al.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to (571)-273-8300 at the Patent and Trademark Office on the date shown below.

Dated: November 28, 2006

Seth Weinfeld

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- 3. U. S. Patent 7,061,523 dated June 13, 2006 to Fujita et al.
- 4. U. S. Patent 7,076,284 dated July 11, 2006 to Segawa et al.

In accordance with 37 C.F.R. 1.98 (a)(2)(i) and (ii) the above cited references are not enclosed.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), please charge deposit account 19-1013 SSMP the \$180.00 fee.

Respectfully submitted;

Seth Weinfold

Registration No: 50,929

Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza, Suite 300 Garden City, New York 11530 516-742-4343

SW:ae

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. (Optional)		Application Number					
LIST OF PRIOR ART				17406		10/766,581					
CITED BY APPLICANT					10//00,501						
(U.	(Use several sheets if necessary)										
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			Applicant(s) Akio Uchiyama								
				Filing Date	Group Art Unit						
	TO De				January 27, 2004 373 TENT DOCUMENTS						
EXAMINER		DOCUMENT NUMBER	DATE		CLASS	SUBCLASS	EII (N	G DATE			
INITIAL*				NAME	CLASS	SUBCLASS		ropriate)			
	AA	5,604,531	2/18/97	Iddan et al.	<u> </u>						
	AB	6,951,536	10/4/05	Yokoi et al.							
	AC	7,061,523	6/13/06	Fujita et al.							
	AD	7,076,284	7/11/06	Segawa et al.							
	AE										
			FOREIGN	PATENT DOCUMI	ENTS						
	REF DOCUMENT DATE NUMBER		COUNTRY	COUNTRY CLASS	SUBCLASS	TRANSLATION					
							YES	NO			
				77.20.11							
		·	OTHER	DOCUMENTS (Incl.	uding Author, Title, L	Date, Pertinent l	Pages, Etc.)	<u> </u>			
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EXAMINER	EXAMINER				DATE CONSIDERED						
* EXAMINER: 1 not considered. I	lnitial if	reference considered, whether copy of this form with next com	or not citation is munication to a	s in conformance with MPE pplicant.	P 609; draw line throu	igh citation if no	t in conform	ance and			